Journal Pre-proof

Unmet Needs for Long-Term Services and Supports and Associations with Health Outcomes

Joseph Caldwell, PhD, Elad Daniels, MA, Kaitlin Stober, MSc

PII: \$1936-6574(24)00117-1

DOI: https://doi.org/10.1016/j.dhjo.2024.101678

Reference: DHJO 101678

To appear in: Disability and Health Journal

Received Date: 1 November 2023

Revised Date: 2 July 2024 Accepted Date: 26 July 2024

Please cite this article as: Caldwell J, Daniels E, Stober K, Unmet Needs for Long-Term Services and Supports and Associations with Health Outcomes, *Disability and Health Journal*, https://doi.org/10.1016/j.dhjo.2024.101678.

This is a PDF file of an article that has undergone enhancements after acceptance, such as the addition of a cover page and metadata, and formatting for readability, but it is not yet the definitive version of record. This version will undergo additional copyediting, typesetting and review before it is published in its final form, but we are providing this version to give early visibility of the article. Please note that, during the production process, errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

© 2024 Published by Elsevier Inc.



Unmet Needs for Long-Term Services and Supports and Associations with Health Outcomes

Authors

Joseph Caldwell, PhD, Brandeis University Elad Daniels, MA, Brandeis University Kaitlin Stober, MSc, Brandeis University

Corresponding Author

Dr. Joseph Caldwell 415 South St MS 035 Waltham, Massachusetts 02453 781-736-3117 joecaldwell@brandeis.edu

Keywords: Unmet Needs, LTSS, Health, BRFSS, Texas

Disclosures

This work was supported by The Community Living Policy Center funded by the National Institute on Disability, Independent Living, and Rehabilitation Research (Research grant ##90RTCP0004). The authors have no financial conflicts of interest to disclose. This study and the findings have not been submitted for any other publication or journal and are not under consideration for publication elsewhere. The content is solely the responsibility of the authors and does not necessarily represent the official views of the funding agencies.

Acknowledgment

The authors would like to thank all of the participants in the study and the national and state health plans that assisted.

Word count for abstract: 249

Word count for complete manuscript: 3,802

Number of tables: 2

Number of references: 43

1	Unmet Needs for Long-Term Services and Supports and Associations with
2	Health Outcomes
3	Tieum Outcomes
4	Abstract
5	
6	Background. The availability of population-level data on unmet needs for long-term services
7	and supports (LTSS) is limited at state and national levels. Data on unmet LTSS needs can
8	improve our understanding of disparities and relationships with health outcomes.
9	
10	Objective. 1) Explore differences in unmet LTSS needs by socio-demographic characteristics,
11	including age, sex, race/ethnicity, metropolitan status, sexual orientation, and socio-economic
12	status; and 2) Examine associations between unmet LTSS needs and health/preventative
13	healthcare outcomes.
14	
15	Methods. We used the 2021 Behavioral Risk Factor Surveillance System (BRFSS) core survey
16	and state-added LTSS questions to analyze a sample of adults with LTSS needs in Texas
17	(N=1,232). We compared socio-demographic characteristics between adults with and without
18	unmet LTSS needs. We conducted modified-Poisson regressions to estimate unadjusted and
19	adjusted risk ratios (with 95% confidence intervals) for each health/preventative healthcare
20	outcome among adults with unmet LTSS needs. Health outcomes included health status, healthy days-physical health, healthy days-mental health, suicide ideation, and multiple chronic
21 22	conditions. Preventative healthcare outcomes included routine check-up and flu vaccine.
23	conditions. Treventative heartificate outcomes included fourthe check-up and flu vaccine.
24	Results. Among adults with LTSS needs, those with unmet LTSS needs were statistically
25	significantly more likely to be younger (age<65), female, higher educational attainment, and
26	non-straight sexual orientation. After controlling for socio-demographic variables, having unmer
27	needs for LTSS was significantly associated with poorer physical and mental health outcomes
28	and suicide ideation.
29	
30	Conclusions. Improved data collection on unmet needs LTSS can assist policymakers,
31	particularly at the state level in guiding reforms to reduce disparities in access to home and
32	community-based services (HCBS) and improve health outcomes.

Key Words: Unmet Needs, Long-Term Services and Supports, Home and Community-Based Services, Health Outcomes, BRFSS

Background

An estimated 14 million individuals in the U.S. need long-term services and supports
(LTSS). ^{1,2} LTSS refers to a wide range of health and social services provided to individuals who
need help with activities of daily living, such as eating, bathing, and dressing, or with
instrumental tasks, such as medication management, meal preparation, and supports for
community participation and employment. Nearly half of the individuals currently needing LTSS
are under the age 65.2 The number of individuals with LTSS needs is projected to more than
double in the coming decades, however, largely due to the aging baby boom generation and other
factors including rising rates of chronic conditions and the Covid-19 pandemic ^{3,4}
The U.S. lacks a coordinated system for financing and delivering LTSS which contributes to
considerable unmet needs. The vast majority of individuals needing LTSS rely on unpaid
assistance from family and friends, supplemented by Medicaid for those who are eligible. Only
about 13% of individuals with LTSS needs receive any form of paid assistance. ² Nationally, an
estimated 53 million family caregivers provide supports to individuals with disabilities and older
adults. ⁵
Medicaid is the primary funder of paid LTSS in the U.S. However, eligibility for Medicaid
HCBS is tied to strict income and asset limits. Moreover, there is a longstanding institutional
bias within the Medicaid program where the provision of nursing homes is mandatory while
preferred Home and Community-Based Services (HCBS) are optional for states to provide.
Despite this bias, significant progress has been made in "rebalancing" Medicaid LTSS systems
(i.e., shifting from institutional to HCBS). Nationally, in 2019 approximately 58.6% of total
Medicaid spending was allocated to HCBS versus institutional settings. ⁶ Yet, striking variations
exist across states and different populations needing LTSS. While states allocated 78.9% of their

59	total Medicaid LTSS spending for individuals with intellectual and developmental disabilities
60	(IDD) to HCBS, they only allocated 32.9% on HCBS for older adults and adults with physical
61	disabilities. ⁶
62	States also have considerable flexibility in how they design their Medicaid HCBS systems
63	(i.e., eligibility, scope of services and supports provided, amount/duration, and delivery) which
64	contributes to unmet needs. Nationally, approximately 656,000 individuals are on waiting lists
65	for Medicaid HCBS waiver programs. ⁷ One study examining Medicaid HCBS recipients across
66	multiple states found that nearly a third of individuals reported unmet needs for assistance with
67	daily activities, assistive technology, home modifications, transportation, and other services.
68	Moreover, disparities in access to HCBS and quality of supports exist for individuals with
69	diverse identities and socio-demographic characteristics, including gender, age, race/ethnicity,
70	LGBTQ+ identities, and metropolitan residence. ⁸⁻¹³
71	Previous research suggests that unmet needs for LTSS are associated with worse community
72	living and health outcomes. Limited access to HCBS contributes to undesirable and preventable
73	placements in institutional settings. ¹⁴ Unmet needs for HCBS have been associated with reduced
74	community participation, interactions with family and friends, satisfaction with activities during
75	the day, and feelings of control over life. 15 Access and quality of HCBS are also important social
76	determinants of health. Unmet needs for LTSS have been associated with lower rates of routine
77	preventative care ^{15,16} , higher emergency department (ED) utilization and hospitalizations ^{15,17,18} ,
78	and mortality. 19,20 Less is known about impacts on mental health. Established associations
79	between depression and unmet LTSS need warrants further exploration on potential mental
80	health outcomes. ^{21,22}

However, our understanding of unmet needs for LTSS and impacts on health outcomes is
limited due to the availability of population-level data. National surveys asking adults across the
lifespan about needs and unmet need for LTSS have not been administered since the mid-1990s,
at which point approximately 21% of LTSS users were determined to have some unmet needs. ²³
Some national surveys assess LTSS needs and unmet needs for older adults but do not survey
adults with disabilities under 65. ²⁴ Representative state-level data on LTSS needs and unmet
needs is also critical for policymakers to plan, particularly given the wide variations in state
Medicaid LTSS systems. Some states have led the way in conducting surveys and adding
questions to existing population surveys to assess LTSS needs and unmet needs. In 2007,
Massachusetts implemented a call-back survey tied to their Behavioral Risk Factor Surveillance
(BRFSS) Survey. More recently, California conducted a follow-up LTSS survey tied to the
California Health Interview Survey (CHIS) ²⁵ and with the support of the Commonwealth Fund,
two states (Washington and Texas) added questions to their BRFSS. ²⁶
The current study examines LTSS unmet need questions that were implemented in Texas
during the 2021 BRFSS cycle. Our specific aims are to: 1) Explore differences in unmet LTSS
needs by socio-demographic characteristics, including age, sex, race/ethnicity, metropolitan
residence, sexual orientation, and socio-economic status; and 2) Examine associations between
unmet LTSS needs and health outcomes, including physical and mental health status and routine
preventative healthcare.

Methods

Data Source

We analyzed data from the 2021 Texas Behavioral Risk Factor Surveillance System (BRFSS).²⁷ The BRFSS is a state-based, cross-sectional random digit dial telephone survey that

Journal Pre-proof

is administered annually to adults over the age of 18 residing in non-institutional settings across all 50 states and 4 territories in a collaboration with the Centers for Disease Control and Prevention (CDC). BRFSS is designed to collect data about population health-related risk behaviors, chronic health conditions, and use of preventative health services.²⁷

BRFSS consists of core questions and rotating core questions that are included in the surveys administered across all states and territories. In 2021, the CDC offered 19 standardized modules concerning varying topics that states could elect to include in that year's implementation. Beyond the adoption of certain modules, states have the option to pay to add their own questions for respondents in their state only.

However, the state-added questions are not sponsored by the CDC; for example, ahead of the administration of the 2021 BRFSS survey, Brandeis University and the Long-Term Quality Alliance (LTQA) collaborated with Texas and Washington state to pilot the addition of LTSS-related questions, sponsored by a grant from the Commonwealth Fund. Specifically, the state-added questions included items on LTSS need, unmet need, and whether received LTSS was unpaid or paid, and source of payment. Additionally, the 2021 Texas BRFSS added questions related to suicide ideation and attempts. The response rate for 2021 in Texas was 35.6%.

Sample

The 2021 Texas BRFSS data set included a total of 10,817 adults aged 18 and above. Our analytic sample include the individuals who indicated they had LTSS by responding affirmatively to 4 LTSS need questions, and also responded to the unmet LTSS need questions; we also excluded responses which had missing values for age. The final analytical sample thus includes 1,232 individuals.

Measures

LTSS need, unmet need and type

LTSS Need. LTSS need was measured by affirmative responses to either of the two standard disability core questions: (1) 'Do you have a difficulty dressing or bathing?' and (2) 'Because of a physical, mental, or emotional condition, do you have difficulty doing errands alone such as visiting a doctor's office or shopping?' These core questions are modeled after the activities of daily living (ADL) and instrumental activities of daily living (IADL) questions included in the American Community Survey (ACS), and therefore offer the opportunity for direct comparison.

In addition, LTSS need was measured by affirmative responses to either of two stateadded disability questions concerned with ADL and IADL: (1) 'In the last month, because of a
physical, mental, or emotional condition, do/did you have any difficulty completing tasks such as
housework, preparing meals, getting dressed, eating/drinking, getting around your home, and
using the toilet without assistance from another individual and/or use of special equipment?' and
(2) 'In the last month, because of a physical, mental, or emotional condition, do/did you have any
difficulty completing tasks such as housework, preparing meals, managing medications,
shopping, or managing money without assistance from another individual and/or use of special
equipment?' These state-added questions were only asked of respondents who were identified as
having a disability via the six disability core questions and/or a chronic condition from core
questions asking about heart attacks, heart disease, stroke, asthma, cancer, COPD, depression,
kidney disease and diabetes.

Receipt of LTSS Supports. The type of LTSS was categorized as paid only or paid and unpaid, and only unpaid, based on responses to the state-added question, 'Are your supports paid, unpaid (for example, a family friend or family member), or both?'

Unmet LTSS Need. LTSS unmet need was measured based on affirmative responses to either of two state-added questions: (1) 'In the last month, did you ever go without completing specific tasks like bathing/showering, getting dressed, eating/drinking, getting around your home, and using the toilet?' and (2) 'In the last month, did you ever go without completing specific tasks like housework, preparing meals, managing medications, shopping, or managing money?'

Health Outcomes

Health Status. We classified adults as having fair or poor general health status based on their response to the question 'Would you say that in general your health is – Excellent, Very good, Good, Fair, or Poor?' This was transformed into a dichotomous variable, with responses of 'fair' or 'poor' coded as 0 and responses of 'good,' 'very good,' and 'excellent' coded as 1.

Healthy Days- Physical health. We counted the frequency of physically healthy days that adults reported in response to the question, 'Now thinking about your physical health, which includes physical illness and injury, for how many days during the past 30 days was your physical health not good?' A dichotomous variable was calculated based on respondents who reported experiencing 14 or more days of 'not good' physical health.

Healthy Days – Mental Health. We counted the frequency of mentally healthy days that adults reported in response to the question, 'Now thinking about your mental health, which includes stress, depression, and problems with emotions, for how many days during the past 30 days was your mental health not good?' A dichotomous variable was calculated based on respondents who reported experiencing 14 or more days of 'not good' mental health.

171	Suicide Ideation. We classified adults as having thought about attempting suicide if they
172	affirmatively answered the question, 'During the past 12 months, have you ever seriously
173	considered attempting suicide?'
174	Multiple Chronic Conditions. We classified adults as having multiple chronic conditions
175	if they reported having two or more of the following chronic conditions: cardiovascular disease,
176	asthma, cancer, chronic obstructive pulmonary disease/ emphysema/ chronic bronchitis, kidney
177	disease, diabetes, arthritis/ gout/ lupus/ fibromyalgia, high cholesterol, high blood pressure, and
178	BMI>30kg/m2.
179	Preventative Healthcare Outcomes
180	Routine Checkup. We classified adults as not having a routine checkup if they reported
181	not having a routine checkup in the past year.
182	Flu Vaccine. We classified adults as not having been vaccinated for influenza if they had
183	not received a flu shot in the past year.
184	<u>Covariates</u>
185	We included the following sociodemographic characteristics as covariates in all of our
186	multivariate analyses: age, gender, race and ethnicity (non-Hispanic White, non-Hispanic Black,
187	Hispanic, non-Hispanic Other Race, Unknown), sexual orientation (straight, gay/ lesbian/
188	bisexual, unknown), education (<high graduate="" high="" higher),="" household="" income<="" or="" school="" school,="" td=""></high>
189	(<\$35,000; ≥\$35,000), health insurance (yes/no), and metropolitan status (yes/no).
190	Analysis
191	We compared demographic and socioeconomic characteristics between adults by unmet
192	LTSS need using chi-square tests for categorical variables and t-test for continuous variables. We
193	calculated the prevalence for each health and healthcare outcome among adults by unmet LTSS

needs. We conducted modified-Poisson regressions to estimate unadjusted and adjusted risk ratios (with 95% confidence intervals) for each health and healthcare outcome among adults with and without unmet LTSS need. Multivariate analyses adjusted for age, race and ethnicity, education, income, health insurance status, paid/unpaid LTSS, sexual orientation, and metropolitan status. We conducted multiple imputations by chained equations to impute values for LTSS supports (missing 50.9%), income (missing 24.8%), and metropolitan status (missing 5.3%) that had missing data; we added 10 imputations for each missing value.²⁹ In order to address missing values for race/ethnicity and sexual orientation, we created an unknown category for each variable. All analyses were conducted using Stata version 18 applying *svy* commands to account for the complex sampling design of the BRFSS.

Results

An overview of the characteristics of the analytic sample of participants is presented in Table 1. Among adults with LTSS need, and compared to adults without unmet LTSS need, adults with unmet LTSS need were, on average, younger, with a higher female share, a higher share of non-Hispanic Whites, a lower share of Hispanics, a higher share of recipients of unpaid supports, a higher share of high-school graduates and above, and a higher share of individuals with a gay/lesbian/bisexual sexual orientation. In particular, non-older adult status (age<65) was shown to be a strong and statistically significant determinant of unmet LTSS need, together with female status, higher educational attainment, and non-straight sexual orientation, albeit to a lesser extent.

[Table 1]

Table 2 displays an overview of health and healthcare outcomes among adults by unmet LTSS need status. Among adults with LTSS need, and compared to adults without unmet LTSS

need, adults with LTSS need had poorer physical and mental health outcomes and worse suicide ideation outcomes. In particular, the association between unmet LTSS need and worse mental health outcomes is strong and statistically significant, as is the association between unmet LTSS need and suicide ideation.

[Table 2]

Discussion

Differences in Unmet LTSS Needs across the Sample Population

Using a sample of community-dwelling adults with reported LTSS need in Texas, this study identified demographic differences associated with having unmet LTSS need. To start, younger people were more likely to have unmet needs than adults over the age of 65. This finding is not surprising, as persistent ableism and institutional bias in the current Medicaid system may restrict options for younger adults with disabilities, particularly psychiatric disabilities, to access waiver services and paid support in the community ^{30,31}.

However, the identification of this difference in Texas calls for improved national-level surveillance of unmet LTSS needs among adults of all ages. About half of all adults with LTSS needs living in the community are under the age of 65, $^{32-34}$, but access to data is more readily available for older adults because of survey initiatives like the National Health and Aging Trends Study (NHATS) (see 15,16 . Collecting more state-level, as well as national-level data, on unmet needs for younger adults would help identify individuals who are falling through the cracks.

These findings also highlight that women are more likely to have unmet LTSS needs than men, a difference that can partially be explained by the fact that women tend to live longer. In addition, however, the historically gendered nature of caregiving may also be influencing these differences. Women are more likely to provide care to spouses and other family members than

men ⁵, so it is plausible that younger and older women alike, particularly those in heterosexual partnerships, do not have comparable access to family caregivers.

While this study did not find significant differences in unmet LTSS needs by race or ethnicity, it is important to note that the small sample sizes of participants who identified as racial and/or ethnic minorities may have impacted the possibility for such differences to be detected. Existing literature suggests that racial and ethnic disparities in LTSS needs and unmet needs may exist, ¹³ so future studies with larger, representative samples are needed. Other non-significant and unanticipated associations warrant this call for further research as well. For instance, the association between education and unmet needs requires further consideration. In addition, whether or not an individual received paid supports had no significant bearing on the likelihood of having unmet LTSS needs. It is again possible that the small number of individuals who received any amount of paid support in the sample (less than 20%), impacted the lack of statistical significance.

Lastly, it is of note that individuals who identified their sexual orientation as lesbian, gay, bisexual (LGB) or other (as opposed to "straight") were significantly more likely to experience unmet LTSS needs than their straight counterparts. Aging adults who identify as sexual orientation minorities may have worse social support than their straight peers, as they are less likely to be partnered or have children, and more likely to face discrimination in long-term care residential settings ³⁵. It is essential that future research and policy planning take cultural considerations relating to sexual orientation and gender identity minorities into account.

Negative Health Outcomes

This study expanded on previous studies in the determination of how unmet LTSS needs are associated with adverse health outcomes. First and foremost, this study revealed that people

with unmet LTSS needs have a significantly elevated risk of experiencing dire mental health consequences. Holding all else constant, individuals with unmet LTSS needs have a 313% greater risk of seriously considering suicide than those who do not have unmet needs. They have a 49% greater risk of experiencing poor mental health for 2 weeks or more in a given month. By nature, these are conservative estimates. People who have died by suicide are not represented, and people experiencing chronic mental health concerns may be underrepresented if they are less able or likely to participate in BRFSS. While existing literature supports the notion that functional disability, in combination with aging, may be associated with higher rates of suicidal ideation ³⁶, our findings indicate that access to LTSS needs may be an important contributing factor.

Poor mental health and suicidality cannot be mitigated or fully treated in a vacuum of healthcare; individuals need services and support to live in the community. In this sense, HCBS can serve as both primary prevention and intervention in relation to the mental health crises impacting disabled and aging adults. These findings underscore the wide-reaching benefit of expanding access to Medicaid, particularly as it relates to the mental health care of individuals who need and use HCBS.

In addition to mental health, adults with unmet LTSS needs have a significantly higher risk of experiencing poor physical health for 14 days or more in a given month, which is consistent with findings from other studies that examined aging or disability populations separately. This may be related to access to routine care. It is worth noting that the unadjusted risk ratios indicated individuals with unmet LTSS needs had a significant risk of not accessing a routine checkup in the past year; however, the adjusted risk ratios are not statistically

significant using a 95% confidence interval. Future research with larger, multi-state or national samples, should examine this relationship further.

Covid- 19 Considerations

Data for this study was collected over the course of 2021, so it is important to contextualize findings within the Covid-19 pandemic. Emerging evidence continues to prove that Covid-19 has had disproportionately adverse effects on the physical health, mental health, and mortality rates among disabled and aging communities. ^{37,38}. Rates of unmet LTSS needs have been impacted by issues relating to Covid-19 infection, reduced healthcare access, social isolation, inadequate supply of direct-care workers, and general limitations in paid and unpaid care. ^{37,39} At the same time, policy measures enabled by the COVID-19 public health emergency impacted LTSS receipt, though it affected institution-based LTSS differently than HCBS. ⁴⁰ *Limitations*

The overall 2021 Texas BRFSS sample is limited by the sampling frame and survey modality. BRFSS is a random digit dial telephone survey that is only administered to adults living in non-institutional settings. As such, adults with psychiatric, cognitive, and/or intellectual and developmental disabilities who live in congregate settings, like group homes, nursing homes, or intermediate care facilities, are not included within the sampling frame. In addition, BRFSS is not household-based, so adults with intellectual and developmental disabilities who live in family homes are not guaranteed to be represented.

Beyond the general BRFSS sample, the final analytic sample for this study relied upon a combination of core and state-added questions to identify individuals with LTSS needs. The core BRFSS questions used to determine unmet need mirrors the language used by the American Community Survey (ACS), whereby participants are asked about "difficulty" completing self-

care and independent living tasks. These questions have evidenced limitations in capturing all relevant participants with disabilities and LTSS needs; in particular, individuals with psychiatric disabilities, chronic illnesses, intellectual disabilities, sensory disabilities, and learning disabilities may be more likely to respond negatively to these questions, which would exclude eligible respondents from the analytic sample.^{41,42}

Due to this shortcoming of the core BRFSS questions, this study also included participants who responded affirmatively to Texas-added questions on LTSS need in the analytic sample. However, these questions were piloted for the first time in this 2021 administration of BRFSS and were not subjected to cognitive testing. Other states can look to Texas' developed questions and refine their own for future testing and use.

This study also used state-added questions to evaluate the rate and impact of unmet LTSS. These questions only captured unmet LTSS need related to activities of daily living, like eating and bathing, and do not include instrumental activities of daily living, like transportation, cooking, and financial management. Future work on how having unmet needs relating to social determinants of health impacts health outcomes is needed. In addition, BRFSS data used in this study is population-level and does not allow for more granular analysis of unmet needs of individuals receiving Medicaid HCBS.

Finally, these findings should be interpreted with some degree of caution because they do not illustrate causality, but rather reflect a statistically significant association between unmet need and risk for health outcomes. It is possible that bi-directional relationships between these variables, as well as unaccounted-for confounding variables, may bias results.

Conclusions

This study underscores the importance of meeting LTSS needs for older adults and

individuals with disabilities. Most individuals with LTSS needs have needs that are not being
met. Policymakers should address the institutional bias in Medicaid and improve access to
HCBS, including targeted efforts to reduce systemic gaps and inequities. CMS recently issued
regulations aimed at ensuring access to Medicaid services, including HCBS. Having state-level
data on unmet needs of individuals receiving Medicaid HCBS as well as those in the general
population will help states and advocates identify gaps and disparities in access. In addition,
given the major role unpaid family caregivers play in providing LTSS, improved policies and
practices are needed to support family caregivers. The Recognize, Assist, Include, Support, and
Engage (RAISE) Family Caregivers Council led by the Administration for Community Living
(ACL) recently developed the first National Strategy to Support Family Caregivers which
includes recommended actions that can be taken at the federal, state, and local levels. Moreover,
more needs to be done to strengthen the paid direct care workforce. Exacerbated by the Covid-19
pandemic and wages that have not kept pace with other industries, individuals with disabilities
are facing a crisis in recruiting and retaining direct care workers. This crisis is contributing to
gaps in services and unmet needs that have significant implications for the health and well-being
of individuals with disabilities.
Lastly, this study demonstrates the importance of collecting representative data on unmet
LTSS needs. The BRFSS could be one existing survey to build upon to provide state-level data. ⁴³
A next step could be for CDC in partnership with ACL and CMS to cognitively test a set of

questions for a formal CDC optional module on LTSS that states could use. At the national level,

additional questions could be added to other existing surveys or development of a new national

- survey of people with disabilities could be pursued that is inclusive of individuals with
- 353 disabilities across lifespan.

354 **References**

- Hado E, Komisar H. Long-Term Services and Supports: Fact Sheet. Wash DC AARP Public
 Policy Inst August Httpswww Aarp Orgcontentda Maarpppi201908long-Term-Serv--
- 357 *Supports Doi*. Published online 2019.
- 2. Kaye HS, Harrington C, LaPlante MP. Long-term care: who gets it, who provides it, who pays, and how much? *Health Aff (Millwood)*. 2010;29(1):11-21.
- 360 3. Mitra M, Long-Bellil L, Moura I, Miles A, Kaye HS. Advancing Health Equity And Reducing Health Disparities For People With Disabilities In The United States: Study
- examines health equity and health disparities for people with disabilities in the United States.
- 363 *Health Aff (Millwood)*. 2022;41(10):1379-1386.
- 4. U.S Commission on Long-Term Care. Commission on Long-Term Care: Report to
- 365 *Congress.*; 2013. http://ltccommission.org/ltccommission/wp-
- 366 content/uploads/2013/12/Commission-on-Long-Term-Care-Final-Report-9-26-13.pdf
- 5. AARP, National Alliance for Caregiving. *Caregiving in the U.S.* 2020.; 2020.
- https://www.caregiving.org/wp-content/uploads/2021/01/full-report-caregiving-in-the-
- united-states-01-21.pdf
- 6. Murray C, Tourtellotte A, Lipson D, Wysocki A. Medicaid Long-Term Services and
- 371 Supports Annual Expenditures Report: Federal Fiscal Year 2019. Mathematica Policy
- 372 Research; 2021.
- 7. Burns A, Watts MO, Ammula M. A Look at Waiting Lists for Home and Community-Based
- 374 Services from 2016 to 2021. KFF; 2022. https://www.kff.org/medicaid/issue-brief/a-look-at-
- waiting-lists-for-home-and-community-based-services-from-2016-to-2021/
- 8. Christ A, Dickman H. An Equity Framework for Evaluating California's Medi-Cal Home
- and Community-Based Services for Older Adults & People with Disabilities. Justice in
- Aging; 2022. https://justiceinaging.org/wp-content/uploads/2022/12/An-Equity-Framework-
- for-Evaluating-CAs-HCBS-System.pdf
- 9. Fabius CD, Thomas KS, Zhang T, Ogarek J, Shireman TI. Racial disparities in Medicaid
- home and community-based service utilization and expenditures among persons with
- multiple sclerosis. *BMC Health Serv Res*. 2018;18(1):1-9.
- 10. Fabius CD, Parker LJ, Thorpe RJ. The influence of race and gender on receiving assistance
- with daily activities among older Americans. *Innov Aging*. 2022;6(2):igab060.
- 385 11. Gorges RJ, Sanghavi P, Konetzka RT. A national examination of long-term care setting,
- outcomes, and disparities among elderly dual eligibles. *Health Aff (Millwood)*.
- 387 2019;38(7):1110-1118.
- 388 12. Harrington C, Kang T. Disparities in service use and expenditures for people with
- intellectual and developmental disabilities in California in 2005 and 2013. *Intellect Dev*
- 390 *Disabil.* 2016;54(1):1-18.

- 13. Shippee TP, Fabius CD, Fashaw-Walters S, et al. Evidence for action: Addressing systemic racism across long-term services and supports. *J Am Med Dir Assoc*. 2022;23(2):214-219.
- 393 14. Kaye HS. Gradual rebalancing of Medicaid long-term services and supports saves money
- and serves more people, statistical model shows. *Health Aff Proj Hope*. 2012;31(6):1195-
- 395 1203. doi:10.1377/hlthaff.2011.1237
- 15. Chong N, Akobirshoev I, Caldwell J, Kaye HS, Mitra M. The relationship between unmet
- need for home and community-based services and health and community living outcomes.
- 398 *Disabil Health J.* 2022;15(2):101222.
- 399 16. Allen SM, Piette ER, Mor V. The adverse consequences of unmet need among older persons
- 400 living in the community: dual-eligible versus Medicare-only beneficiaries. J Gerontol B
- 401 *Psychol Sci Soc Sci.* 2014;69(Suppl_1):S51-S58.
- 402 17. DePalma G, Xu H, Covinsky KE, et al. Hospital readmission among older adults who return home with unmet need for ADL disability. *The Gerontologist*. 2013;53(3):454-461.
- 18. Xu H, Covinsky KE, Stallard E, Thomas III J, Sands LP. Insufficient help for activity of
- daily living disabilities and risk of all-cause hospitalization. J Am Geriatr Soc.
- 406 2012;60(5):927-933.
- 407 19. He S, Craig BA, Xu H, et al. Unmet need for ADL assistance is associated with mortality
- among older adults with mild disability. *J Gerontol Ser Biomed Sci Med Sci*.
- 409 2015;70(9):1128-1132.
- 410 20. Weaver RH, Roberto KA. Effects of long-term services and supports on survival of poor,
- 411 highly vulnerable older adults. *The Gerontologist*. 2019;59(5):936-946.
- 412 21. Rivera E, Hirschman KB, Naylor MD. Reported needs and depressive symptoms among
- older adults entering long-term services and supports. *Innov Aging*. 2020;4(3):igaa021.
- 414 22. Xiang X, An R, Heinemann A. Depression and unmet needs for assistance with daily
- activities among community-dwelling older adults. *The Gerontologist*. 2018;58(3):428-437.
- 416 23. LaPlante MP, Kaye HS, Kang T, Harrington C. Unmet need for personal assistance services:
- estimating the shortfall in hours of help and adverse consequences. *J Gerontol B Psychol Sci*
- 418 *Soc Sci.* 2004;59(2):S98-S108.
- 419 24. LTQA. Data Resources to Determine the LTSS Needs of Working Age Adults with
- 420 Disabilities. Long-Term Quality Alliance; 2018. https://www.ltqa.org/white-paper-disability-
- 421 data-resources/
- 422 25. Kietzman K, Chen L. Unmet Needs for Help at Home: How Older Adults and Adults With
- 423 Disabilities Are Faring in California. UCLA Center for Health Policy Research; 2022.
- 424 26. LTQA, CLPC. Final Report: LTSS Need, Receipt, and Unmet Need in the Washington State
- 425 Population Age 18-64 with Disabilities. Long-Term Quality Alliance, Community Living

Journal Pre-proof

- Policy Center; 2023. https://www.ltqa.org/ltss-need-receipt-and-unmet-need-in-the-
- washington-state-population-age-18-64-with-disabilities/
- 428 27. CDC. Behavioral Risk Factor Surveillance System. Centers for Disease Control and
- 429 Prevention; 2023. https://www.cdc.gov/brfss/index.html
- 430 28. LTQA. Measuring Unmet LTSS Need and Service Use Among Adults Under Age 65 with
- 431 Disabilities. Long-Term Quality Alliance; 2022. https://www.ltqa.org/measuring-unmet-ltss/
- 432 29. Rubin DB. Multiple imputation. In: *Flexible Imputation of Missing Data*. CRC press; 2018.
- 433 30. Friedman C, VanPuymbrouck L. The relationship between disability prejudice and Medicaid
- home and community-based services spending. *Disabil Health J.* 2019;12(3):359-365.
- 31. Ne'eman A, Stein M, Grabowski DC. Nursing Home Residents Younger Than Age Sixty-
- Five Are Unique And Would Benefit From Targeted Policy Making: Study examines
- policies that could benefit nursing home residents younger than sixty-five. *Health Aff*
- 438 (*Millwood*). 2022;41(10):1449-1459.
- 32. Colello KJ. Overview of Long-Term Services and Supports. Congressional Research Service;
- 440 2021.
- 33. Kaye HS, Harrington C, LaPlante MP. Long-term care: who gets it, who provides it, who
- pays, and how much? *Health Aff Proj Hope*. 2010;29(1):11-21.
- doi:10.1377/hlthaff.2009.0535
- 34. Kaye HS, Harrington C. Long-term services and supports in the community: Toward a
- research agenda. *Disabil Health J.* 2015;8(1):3-8.
- 35. SAGE, Human Rights Campaign Foundation. Long-Term Care Eequality Index 2021.; 2021.
- https://www.sageusa.org/wp-content/uploads/2021/06/sage-lei-2021-report-final.pdf
- 36. Bickford D, Morin RT, Woodworth C, et al. The relationship of frailty and disability with
- suicidal ideation in late life depression. *Aging Ment Health*. 2021;25(3):439-444.
- 450 37. Akobirshoev I, Vetter M, Iezzoni LI, Rao SR, Mitra M. Delayed Medical Care And Unmet
- Care Needs Due To The COVID-19 Pandemic Among Adults With Disabilities In The US:
- Study examines delayed medical care and unmet care needs attributable to the COVID-19
- pandemic among US adults with disabilities. *Health Aff (Millwood)*. 2022;41(10):1505-1512.
- 454 38. Kaye HS, Caldwell J. Excess Deaths Of Medicaid Home And Community-Based Services
- Recipients During COVID-19. *Health Aff (Millwood)*. 2023;42(1):115-120.
- 456 39. Blum JD, Mathis SR. Forgotten on the frontlines: The plight of direct care workers during
- 457 COVID-19. *U Det Mercy Rev.* 2020;98:325.
- 458 40. Reinhard SC, Flinn B, Blakeway Amero C, COVID-19's Impact on Community-based
- Long-Term Services and Supports. *Generations*. 2022;46(1):1-12.

41. Hall JP, Kurth NK, Fall EC. Discrepancy among behavioral risk factor surveillance system,

461	social security, and functional disability measurement. Published online 2012.
462	42. Hall JP, Kurth NK, Ipsen C, Myers A, Goddard K. Comparing Measures Of Functional
463	Difficulty With Self-Identified Disability: Implications For Health Policy: Study compares
464	measures of functional difficulty with self-identified disability. Health Aff (Millwood).
465	2022;41(10):1433-1441.

social security, and functional disability measurement. Published online 2012.

460

466

467

468

469

470

43. Wu C, Lewis C. Creating a New Model to Measure the Need for Long-Term Services and Supports Among Working-Age Adults with Disabilities. The Commonwealth Fund; 2023. https://www.commonwealthfund.org/blog/2023/creating-new-model-measure-need-longterm-services-and-supports-among-working-age-adults

471 [Appendix]

Journal Pre-problem

Appendix

Table A1. Study Sample Characteristics by Unmet LTSS Need Status (Unimputed Sample)

Characteristics	Total Sample	Unmet LTSS Need		Unadjusted		Adjusted	
		No	Yes				
	N (%)	N (%)	N (%)	RR	95% CI	RR	95% CI
Total sample, N (row %)	1,232 (100)	535 (37.5)	697 (62.5)				
Estimated sample, N (row %)	1,942,393 (100)	728,876 (37.5)	1,213,517 (62.5)				
Age, Mean (SD)	53.6 (1.1)	59.8 (1.3)	49.8 (1.5)	0.99***	[0.99,0.99]	-	-
Age ≤65	-	-	-	-	0	1.29*	[1.06,1.58]
<u>Gender</u>							
Male	462 (36.2)	220 (43.3)	242 (32.0)	Ref.	-	Ref.	-
Female	770 (63.8)	315 (56.7)	455 (68.0)	1.21*	[1.03,1.42]	1.06	[0.91,1.23]
Race/Ethnicity							
NH White	644 (45.3)	256 (43.0)	388 (46.7)	Ref.	-	Ref.	-
NH Black	124 (12.6)	59 (12.5)	65 (12.7)	0.97	[0.78,1.22]	0.98	[0.79,1.22]
Hispanic	367 (36.0)	178 (38.9)	189 (34.2)	0.92	[0.78,1.09]	0.90	[0.74,1.09]
NH Other	53 (2.7)	22 (2.6)	31 (2.7)	0.98	[0.71,1.36]	0.92	[0.66,1.27]
Unknown	44 (3.5)	20 (3.0)	24 (3.8)	1.06	[0.77,1.44]	0.77	[0.29,2.03]
LTSS Supports							
Paid	219 (15.7)	86 (11.0)	133 (18.5)	Ref.	-	Ref.	-
Unpaid only	384 (33.4)	128 (22.6)	256 (40.0)	1.01	[0.87,1.17]	0.93	[0.78,1.11]
Missing	629 (50.9)	321 (66.4)	308 (41.5)	Ref.	-		-
<u>Income</u>							
<\$35,000	381 (30.5)	149 (28.2)	232 (31.9)	0.97	[0.83,1.14]	0.92	[0.79,1.08]
≥\$35,000	576 (44.6)	239 (43.3)	337 (45.4)	Ref.	-	Ref.	-

Missing	275 (24.8)	147 (28.5)	128 (22.7)	-	-	-	-	
<u>Education</u>								
High school or higher	1,003 (76.8)	408 (68.6)	595 (81.7)	1.34*	[1.06,1.69]	1.17	[0.72,1.65]	
Less than high school	225 (22.9)	125 (30.8)	100 (18.2)	Ref.	-	-	Ref.	
Missing	4 (0.3)	2 (0.6)	2 (0.1)	-	-	-	-	
Metropolitan Status								
Non-metropolitan	157 (11.6)	62 (13.5)	95 (10.5)	Ref.	Ē	Ref.	-	
Metropolitan	1,023 (83.1)	445 (81.5)	578 (84.0)	1.12	[0.84,1.49]	0.90	[0.72,1.12]	
Missing	52 (5.3)	28 (5.0)	24 (5.5)	-		-	-	
Sexual orientation								
Straight	1,006 (77.4)	450 (84.8)	556 (72.9)	Ref.	-	Ref.	-	
Gay/ lesbian/ bisexual	92 (11.3)	21 (5.8)	71 (14.6)	1.12*	[1.03,1.23]	1.17*	[1.03,1.32]	
Unknown	134 (11.4)	64 (9.5)	70 (12.5)	-	-	0.94	[1.59,1.49]	

Source: Texas BRFSS 2021.

Note: Abbreviations, LTSS = Long Term Services and Supports; NH = Non-Hispanic; Ref. = Reference group; RR = Risk Ratio. * p<0.05, ** p<0.01, *** p<0.001. Percentage in parentheses are column shares. Percentage might not add up to 100% due to rounding. Calculated using sampling weights. Adjusted and unadjusted columns represent models using row variable as an independent variable for unmet LTSS need. Adjusted model includes all table covariates.

Table A2. Health and Healthcare Outcomes by Unmet LTSS Need (Unimputed Sample)

Characteristics	Total Sample	Unmet LTSS Need		Unadjusted		Adjusted	
•		No	Yes				
	N (%)	N (%)	N (%)	RR	95% CI	RR	95% CI
Total sample, N (row %)	1,232 (100)	535 (37.5)	697 (62.5)				
Estimated sample, N (row %)	1,942,393 (100)	728,876 (37.5)	1,213,517 (62.5)				
General Health is Poor/Fair Only	734 (58.4)	294 (56.9)	440 (59.3)	1.04	[0.88,1.23]	1.20	[1.00,1.45]
Physical Health not Good (14+ Days)	546 (42.3)	201 (35.8)	345 (46.2)	1.26	[1.00,1.59]	1.48**	[1.14,1.91]

Journal Pre-proof

Mental Health not Good (14+ Days)	459 (40.1)	141 (27.5)	318 (47.8)	1.73***	[1.32,2.26]	1.46**	[1.10,1.92]
Seriously Considered Suicide	117 (11.3)	21 (3.3)	96 (16.1)	4.76***	[2.33,9.71]	4.04***	[1.82,8.97]
Multiple Chronic Conditions	964 (69.6)	408 (70.6)	556 (69.0)	0.98	[0.85,1.12]	1.13	[0.96,1.32]
Routine Checkup in the Last Year	990 (73.7)	451 (82.3)	539 (68.5)	0.86*	[0.77,0.97]	0.94	[0.84,1.05]
Flu Vaccine in the Last Year	486 (41.0)	272 (42.6)	314 (40.0)	0.94	[0.75,1.17]	1.03	[0.82,1.29]

Source: Texas BRFSS 2021.

Note: Abbreviations, LTSS = Long Term Services and Supports; NH = Non-Hispanic; Ref. = Reference group; RR = Risk Ratio. * p<0.05, ** p<0.01, *** p<0.001. Percentage in parentheses are column shares. Percentage might not add up to 100% due to rounding. Calculated using sampling weights. Adjusted and unadjusted columns represent models using row variable as a dependent variable and unmet LTSS need as an independent variable. Adjusted column accounts for age, gender, race/ethnicity, unpaid supports, income, educational attainment, and sexual orientation as described in Table 1.

Table 1. Study Sample Characteristics by Unmet LTSS Need Status

Characteristics	Total Sample	Unmet LTSS Need		Unadjusted		Adjusted	
•		No	Yes				
	N (%)	N (%)	N (%)	RR	95% CI	RR	95% CI
Total sample, N (row %)	1,232 (100)	535 (37.5)	697 (62.5)				
Estimated sample, N (row %)	1,942,393 (100)	728,876 (37.5)	1,213,517 (62.5)				
Age, Mean (SD)	53.6 (1.1)	59.8 (1.3)	49.8 (1.5)	0.99***	[0.99,0.99]	-	-
Age ≤65	-	-	-	-	0	1.48**	[1.22,1.80]
<u>Gender</u>							
Male	462 (36.2)	220 (43.3)	242 (32.0)	Ref.	_	Ref.	-
Female	770 (63.8)	315 (56.7)	455 (68.0)	1.21*	[1.03,1.42]	1.17*	[1.01,1.36]
Race/Ethnicity							
NH White	644 (45.3)	256 (43.0)	388 (46.7)	Ref.	-	Ref.	-
NH Black	124 (12.6)	59 (12.5)	65 (12.7)	0.97	[0.78,1.22]	0.96	[0.76,1.20]
Hispanic	367 (36.0)	178 (38.9)	189 (34.2)	0.92	[0.78,1.09]	0.91	[0.77,1.07]
NH Other	53 (2.7)	22 (2.6)	31 (2.7)	0.98	[0.71,1.36]	0.90	[0.64,1.26]
Unknown	44 (3.5)	20 (3.0)	24 (3.8)	1.06	[0.77,1.44]	1.01	[0.73,1.38]
LTSS Supports							
Paid	405 (32.9)	194 (36.2)	215 (30.1)	Ref.	-	Ref.	-
Unpaid only	825 (67.1)	340 (63.8)	481 (69.0)	1.10	[0.90,1.34]	0.98	[0.80,1.25]
Missing	2 (0.0)	1 (0.0	1 (0.0)	Ref.	-		-
Income							
<\$35,000	772 (62.8)	149 (28.2)	232 (31.9)	0.97	[0.82,1.14]	1.06	[0.90,1.25]
≥\$35,000	458 (37.2)	239 (43.3)	337 (45.4)	Ref.	-	Ref.	-
Missing	2 (0.0)	147 (28.5)	128 (22.7)	-	-	-	-

Education

High school or higher	946 (77.0)	408 (68.6)	595 (81.7)	1.34*	[1.06,1.69]	1.34*	[1.07,1.67]
Less than high school	282 (23.0)	125 (30.8)	100 (18.2)	Ref.	-	-	Ref.
Missing	4 (0.0)	2 (0.6)	2 (0.1)	-	-	-	-
Metropolitan Status							
No	153 (12.5)	73 (14.0)	81 (11.6)	Ref.	-	Ref.	-
Yes	1,077 (87.5)	460 (86.0)	616 (88.4)	1.09	[0.82,1.45]	1.10	[0.84,1.43]
Missing	2 (0.0)	2 (0.0)	0 (0.0)	-		-	-
Sexual orientation							
Straight	1,006 (77.4)	450 (84.8)	556 (72.9)	Ref.	-	Ref.	-
Gay/ lesbian/ bisexual	92 (11.3)	21 (5.8)	71 (14.6)	1.12*	[1.03,1.23]	1.24**	[1.06,1.46]
Unknown	134 (11.4)	64 (9.5)	70 (12.5)	-	-	1.26*	[1.03,1.56]

Source: Texas BRFSS 2021.

Note: Abbreviations, LTSS = Long Term Services and Supports; NH = Non-Hispanic; Ref. = Reference group; RR = Risk Ratio. * p<0.05, ** p<0.01, *** p<0.001. Percentage in parentheses are column shares. Percentage might not add up to 100% due to rounding. Calculated using sampling weights and multiple imputations. Adjusted and unadjusted columns represent models using row variable as an independent variable for unmet LTSS need. Adjusted model includes all table covariates.

Table 2. Health and Healthcare Outcomes by Unmet LTSS Need

Characteristics	Total Sample	Unmet LTSS Need		Unadjusted		Adjusted	
•		No	Yes				
	N (%)	N (%)	N (%)	RR	95% CI	RR	95% CI
General Health is Poor/Fair Only	716 (58.6)	304 (57.2)	411 (59.5)	1.04	[0.88,1.23]	1.16	[0.99,1.35]
Physical Health not Good (14+ Days)	515 (44.4)	190 (38.3)	317 (48.1)	1.26	[1.00,1.59]	1.29*	[1.03,1.63]
Mental Health not Good (14+ Days)	489 (41.6)	145 (28.6)	328 (49.3)	1.73***	[1.32,2.26]	1.51**	[1.17,1.94]
Seriously Considered Suicide	139 (11.7)	18 (3.5)	112 (16.5)	4.76***	[2.33,9.71]	4.10***	[1.96,8.59]
Multiple Chronic Conditions	856 (69.6)	378 (70.7)	480 (69.0)	0.98	[0.85,1.12]	1.03	[0.90,1.17]
Routine Checkup in the Last Year	914 (75.8)	438 (83.0)	484 (71.4)	0.86*	[0.77,0.97]	0.91	[0.82,1.01]
Flu Vaccine in the Last Year	504 (41.4)	228 (43.0)	278 (40.4)	0.94	[0.75,1.17]	1.08	[0.87,1.33]

Source: Texas BRFSS 2021.

Note: Abbreviations, LTSS = Long Term Services and Supports; NH = Non-Hispanic; Ref. = Reference group; RR = Risk Ratio. * p<0.05, ** p<0.01, *** p<0.001. Percentage in parentheses are column shares. Percentage might not add up to 100% due to rounding. Calculated using sampling weights and multiple imputations. Adjusted and unadjusted columns represent models using row variable as a dependent variable and unmet LTSS need as an independent variable. Adjusted column accounts for age, gender, race/ethnicity, unpaid supports, income, educational attainment, and sexual orientation as described in Table 1.